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T. McBeth  
11/8/01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James Neal Richter )  
Douglas K. Warner )  
Ganesh Prabu )  
Serial No. 09/751,934 )  
Filed: December 29, 2000 )  
Title: AUTOMATED ADAPTIVE )  
CLASSIFICATION SYSTEM )  
FOR BAYESIAN KNOWLEDGE )  
NETWORKS )

Attorney Docket No. RNOT 80303

Group Art Unit: 2171

RECEIVED  
OCT 17 2001  
Group 2100

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service, postage prepaid, as First Class Mail in an envelope addressed to: Preexamination Filing Receipt Section, Commissioner for Patents, Washington, D.C. 20231, on:

10/4/01  
Date

Signature

TRANSMITTAL OF SUBSTITUTE JOINT DECLARATION  
FOR PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231


Dear Sir:

This letter transmits a substitute Joint Declaration for Patent Application which includes the priority patent information of the parent case of the above-referenced patent application. This information was inadvertently omitted from the original Declaration filed on February 14, 2001, with the U.S. Patent Office as part of the Response to the Notice to File Missing Parts, and the surcharge of \$130 was submitted as part of the Response.

Applicant respectfully requests that the Declaration enclosed herewith be substituted for the original Declaration.

Although the undersigned believes there is no fee due in connection with this request, we hereby authorize the Commissioner to charge any additional fee which may be required, or credit any overpayment, to Deposit Account No. 19-2112. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

  
William B. Kircher  
Reg. No. 22,481

WBK:ljm  
Enclosure

SHOOK, HARDY & BACON L.L.P.  
One Kansas City Place  
1200 Main Street  
Kansas City, Missouri 64105-2118  
(816) 474-6550



## JOINT DECLARATION FOR PATENT APPLICATION

As below-named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our respective names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled AUTOMATED ADAPTIVE CLASSIFICATION SYSTEM FOR BAYESIAN KNOWLEDGE NETWORKS, the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: NONE

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: 09/549,568 (parent case), filed on April 14, 2000

We hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and to file and prosecute any corresponding foreign applications, including any international applications under the Patent Cooperation Treaty or the European Patent Convention: William B. Kircher, Reg. No. 22,481; James H. Marsh, Jr., Reg. No. 24,533; J. David Wharton, Reg. No. 25,717; Joseph B. Bowman, Reg. No. 25,807; Richard R. Johnson, Reg. No. 27,452; Walter R. Brookhart, Reg. No. 29,518; Joan Optican Herman, Reg. No. 31,968; Michael B. Hurd, Reg. No. 32,241; Michael J. Gross, Reg. No. 35,528; William P. Jensen, Reg. No. 36,833; Daniel W. Shinn, Reg. No. 40,810; B. Trent Webb, Reg. No. 40,865; Susan J. Wharton, Reg. No. 41,524; Scott B. Strohm, Reg. No. 42,172; and Clinton G. Newton, Reg. No. 42,930. Address all correspondence to: William B. Kircher, SHOOK, HARDY & BACON L.L.P., One Kansas City Place, 1200 Main Street, Kansas City, Missouri 64105-2118, telephone number (816) 474-6550.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature: \_\_\_\_\_

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8/21/2001

Date

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